

# Results on cloaking by transformation optics and anomalous localized resonance in elliptic geometry

Giovanni Rossanigo

October 7th, 2021

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# Why study cloaking? Why in elliptical geometry?

## Why study cloaking?

- Physical and engineering challenges and problems, such as the study and realization of **metamaterials**. [Alù, Engheta, (2005).]

Several suggestions based on metamaterials on how to achieve cloaking:

- ① cloaking by transformation optics;
- ② cloaking by anomalous localized resonance CALR.

## Why in elliptical geometry?

- Explicit results known only for systems with circular geometries in  $\mathbb{R}^2$ .
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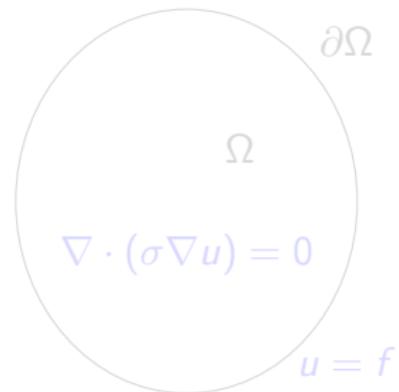
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# Cloaking by transformation optics - Electrical impedance tomography

$\Omega \subset \mathbb{R}^n$ ,  $n \geq 2$ , bounded domain.

$$\begin{cases} \nabla \cdot (\sigma \nabla u) = 0 & \text{in } \Omega, \\ u = f & \text{on } \partial\Omega, \end{cases}$$

$\sigma = (\sigma_{ij}) : \Omega \rightarrow \mathbb{R}^n$  is the *unknown* conductivity.



We use the Dirichlet to Neumann map

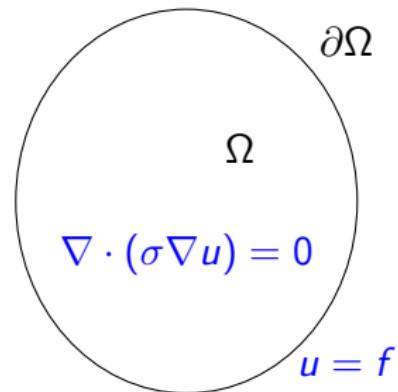
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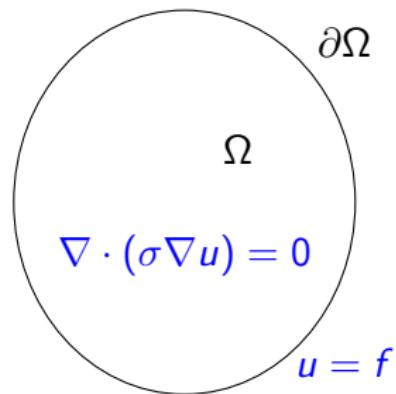
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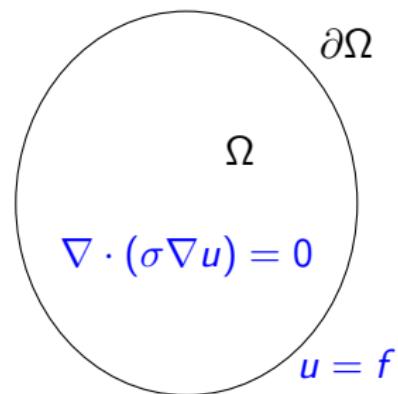
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# Change of variables

$\Lambda_\sigma$  allows us to determine  $\sigma$  at its best at less than a change of variables.

## Proposition

Let  $F : \Omega \rightarrow \Omega$  be such that  $F(x) = x$  at  $\partial\Omega$ . Then the boundary measurements associated with  $\sigma$  and  $F_*\sigma$  are identical, i.e.  $\Lambda_\sigma(f) = \Lambda_{F_*\sigma}(f)$  for all  $f$ .

$F_*\sigma$  is the push-forward of  $\sigma$  by the change of variables  $F$ :

$$F_*\sigma(y) = \frac{1}{\det(DF(x))} DF(x)\sigma(x)(DF(x))^T.$$

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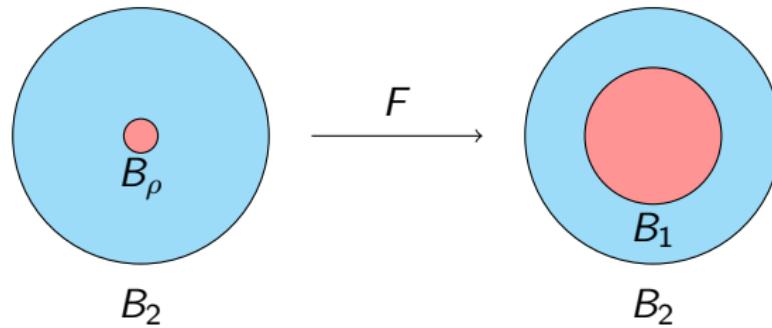
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There exists a transformation  $F : \Omega \rightarrow \Omega$  such that

- $F$  is continuous and piecewise smooth;
- $F(B_\rho(0)) = B_1(0)$  while  $F(B_2(0)) = B_2(0)$ ;
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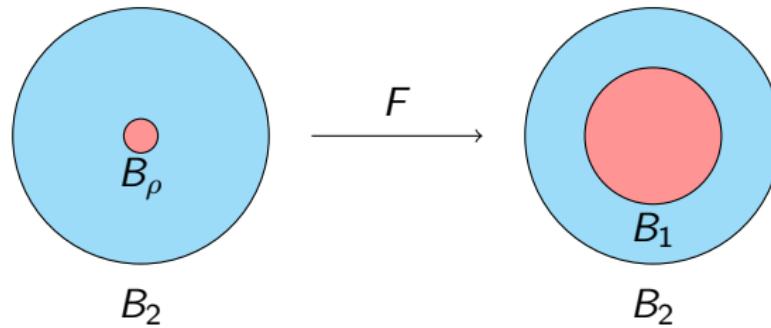


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# Results in circular geometry

## Theorem

Suppose that the shell  $B_2(0) \setminus B_1(0)$  has conductivity  $F_* 1$ . If  $\rho$  is small enough, then  $B_1(0)$  is nearly cloaked, i.e. there exists some constant  $C > 0$  such that

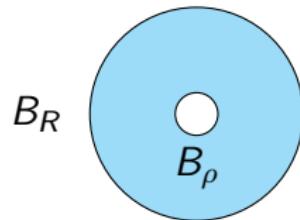
$$\|\Lambda_{\sigma_A} - \Lambda_1\| \leq C\rho^2.$$

The proof is based on problems with dielectric inclusions.

# Dielectric inclusions

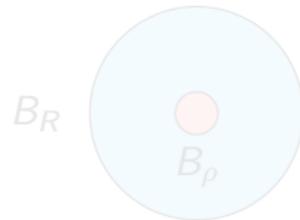
Perfect insulation problem:

$$\begin{cases} \Delta u_0^\rho = 0 & \text{in } B_R(0) \setminus \overline{B_\rho(0)}, \\ u_0^\rho = f & \text{on } \partial B_R(0), \\ \frac{\partial u_0^\rho}{\partial \bar{n}} = 0 & \text{on } \partial B_\rho(0), \end{cases}$$



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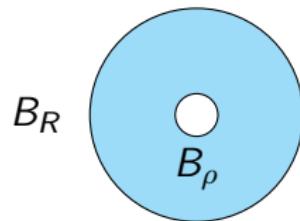
Calculate  $\Lambda_0^\rho$ ,  $\Lambda_\infty^\rho$  and estimate:

$$\|\Lambda_1 - \Lambda_0^\rho\| \leq C\rho^2 \quad \|\Lambda_1 - \Lambda_\infty^\rho\| \leq C\rho^2$$

# Dielectric inclusions

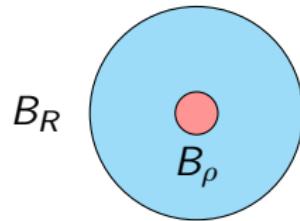
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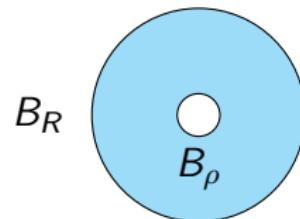
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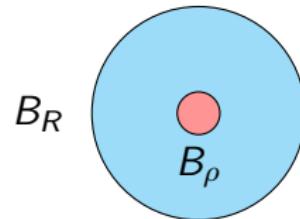
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# Results in elliptic geometry I

## Theorem

Assume that  $f = \sum_{k \in \mathbb{Z}} f_k e^{ik\nu}$  with  $f_k = 0$  for all  $|k| < k_0$ , and  $f_{k_0} \neq 0$ . Then there exists  $C > 0$  depending only on  $R$  such that

$$\|\Lambda_1 - \Lambda_0^\rho\| \geq Ck_0|f_{k_0}|e^{2k_0\rho}$$

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With this procedure there is no cloaking in elliptic geometry!

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# Results in elliptic geometry II

## Theorem

Suppose the source  $f : \partial\mathcal{E}_R(0) \rightarrow \mathbb{R}$ ,  $f \in C^1$ , is high-frequency monochromatic, that is, there is a large  $k_0 \in \mathbb{N}$  such that  $f = f_{k_0} e^{ik_0 \nu}$ . Then there exists some constant  $C > 0$  such that

$$\left\| \frac{\partial u_1}{\partial \bar{n}} - \frac{\partial u_0^\rho}{\partial \bar{n}} \right\|_{L^2(\partial\mathcal{E}_R(0))} \leq \frac{C}{k_0} \quad \text{and} \quad \left\| \frac{\partial u_1}{\partial \bar{n}} - \frac{\partial u_\infty^\rho}{\partial \bar{n}} \right\|_{L^2(\partial\mathcal{E}_R(0))} \leq \frac{C}{k_0}.$$

# Cloaking by anomalous localized resonance - The problem

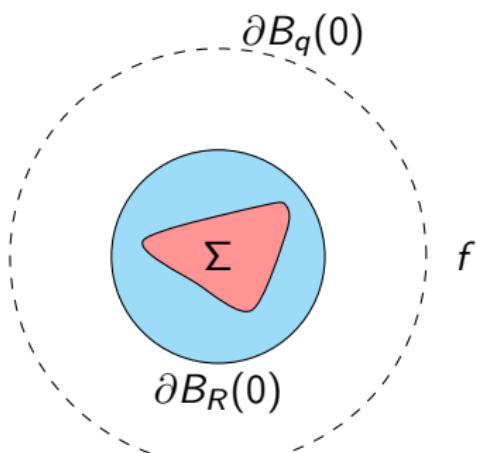
$$\begin{cases} \nabla \cdot (a_\eta \nabla u_\eta) = f & \text{in } \mathbb{R}^2, \\ u_\eta \rightarrow 0 & \text{as } |x| \rightarrow \infty. \end{cases}$$

$a_\eta = A(x) + i\eta$  is the electric permittivity:

- $A(x)$  has a core-shell-matrix character:

$$A(x) = \begin{cases} +1 & \text{in the core } \Sigma, \\ -1 & \text{in the shell } B_R \setminus \Sigma, \\ +1 & \text{in the matrix } \mathbb{R}^2 \setminus B_R. \end{cases}$$

- $\eta > 0$  is a loss parameter;



The source  $f$  is supported on  $\partial B_q(0)$ ,  $q > R$ .

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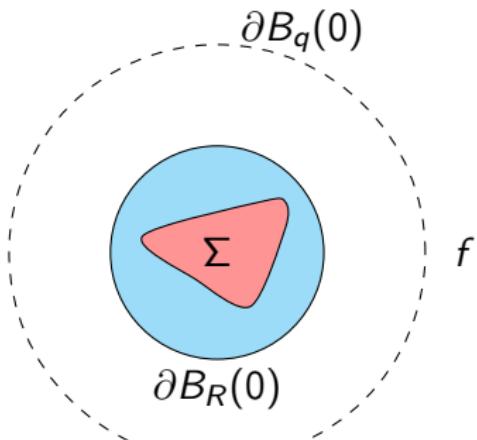
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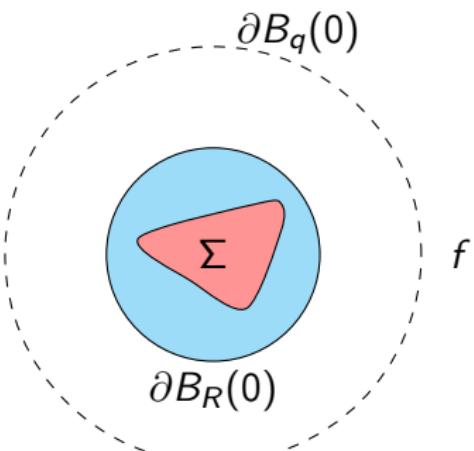
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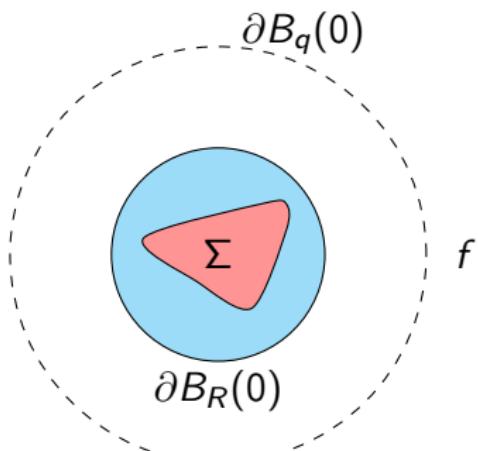
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# Anomalous localized resonance

Energy of the solution:

$$\mathcal{E}_\eta = \frac{\eta}{2} \int_{\mathbb{R}^2} |\nabla u_\eta|^2 dx$$

When  $\eta \rightarrow 0$

- Anomalous Localized Resonance occurs:  $|\nabla u_\eta|$  diverges in a specific region while it converges smoothly outside this region. No dipedence from  $a_\eta$ .
- Normalize the problem by  $\alpha_\eta \in \mathbb{R}$ , with  $\alpha_\eta \rightarrow 0$ .
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# Cloaking results in the litterature

## Spectral theory techniques:

- Ammari, Ciraolo, Kang, Lee, Milton proved that in circular geometry (core =  $B_r$ , shell =  $B_R$ ) cloaking happens only if  $q < R^*$ , where

$$R^* = r \left( \frac{R}{r} \right)^{3/2}.$$

[Ammari, Ciraolo, Kang, Lee, Milton, (2013).]

Milton and Nicorovici performed numerical simulations which confirm  $R^*$ .

- Chung, Kang, Kim, Lee proved that in elliptic geometry cloaking happens only if  $q < R^*$ , where

$$R^* = \begin{cases} (3R - r)/2 & \text{for } R \leq 3r, \\ 2(R - r) & \text{for } R > 3r, \end{cases}$$

if core =  $\mathcal{E}_r$ , shell =  $\mathcal{E}_R$ , where

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# Variational methods

Khon, Lu, Schweizer, Weinsten approach based on two **dual variational principles**. [Khon, Lu, Schweizer, Weinsten, (2012).]

- Primal variational principles:  $\mathcal{E}_\eta \leq \mathcal{I}_\eta$ , used to prove that cloaking does not happen.
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# Variational methods

Khon, Lu, Schweizer, Weinsten approach based on two **dual variational principles**. [Khon, Lu, Schweizer, Weinsten, (2012).]

- Primal variational principles:  $\mathcal{E}_\eta \leq \mathcal{I}_\eta$ , used to prove that cloaking does not happen.
- Dual variational principles:  $\mathcal{E}_\eta \geq \mathcal{J}_\eta$ , used to prove that cloaking happens.

↔ based on test functions.

# Results in elliptic geometry I

## Theorem (No core implies resonance for sources at any distance)

Assume that the configuration has no core (i.e.  $\Sigma = \emptyset$ ). Let  $f = F\mathcal{H}^1|_{\partial\mathcal{E}_R(0)}$  with  $0 \neq F : \partial\mathcal{E}_R(0) \rightarrow \mathbb{R}$  be a source at a distance  $q > R$ . Then

$$\mathcal{E}_\eta(u_\eta) \rightarrow +\infty \quad \text{as } \eta \rightarrow 0.$$

~~> Cloaking always happens

~~> Dual variational principle

# Results in elliptic geometry II

## Theorem (Non-resonance beyond $R^*$ )

Let  $\Sigma = \mathcal{E}_r(0) \subset \mathcal{E}_R(0)$  and let  $A(x) = +1$  in  $\Sigma$  and  $\mathbb{R}^2 \setminus \mathcal{E}_R(0)$ ,  $A(x) = -1$  in  $\mathcal{E}_R(0) \setminus \Sigma$ .

Let  $f = F\mathcal{H}^1|_{\partial\mathcal{E}_q(0)}$ ,  $0 \neq F : \partial\mathcal{E}_q(0) \rightarrow \mathbb{R}$ , be a source at a distance  $q > R$  with zero average and  $F \in L^2(\partial\mathcal{E}_q(0))$ . Then the configuration is non-resonant if  $q > R^*$  where

$$R^* = (3R - r)/2$$

Thank you for the attention

# Elliptic coordinates

## Definition

The elliptical coordinates  $(\mu, \nu) \in [0, +\infty) \times [0, 2\pi)$  on  $\mathbb{R}^2$  are defined via

$$\begin{cases} x = a \cosh \mu \cos \nu \\ y = a \sinh \mu \sin \nu \end{cases}$$

where  $a > 0$ .

- The coordinate  $\mu$  is called the **elliptic radius**.
- The coordinate lines are hyperbolae and ellipses.
- We define the elliptical region

$$\mathcal{E}_r(0) = \left\{ (x, y) \in \mathbb{R}^2 : \frac{x^2}{\cosh^2 r} + \frac{y^2}{\sinh^2 r} < a^2 \right\}.$$

# The dual variational principles

Set  $u_\eta = v_\eta + i/\eta w_\eta$ , then

$$\nabla \cdot (a_\eta \nabla u_\eta) = f \iff \begin{cases} \nabla \cdot (A \nabla v_\eta) - \Delta w_\eta &= f, \\ \nabla \cdot (A \nabla w_\eta) + \eta^2 \Delta v_\eta &= 0 \end{cases}$$

The energy becomes

$$\mathcal{E}_\eta = \frac{\eta}{2} \int_{\mathbb{R}^2} |\nabla u_\eta|^2 dx \Rightarrow \mathcal{I}_\eta = \frac{\eta}{2} \int_{\mathbb{R}^2} |\nabla v_\eta|^2 dx + \frac{1}{2\eta} \int_{\mathbb{R}^2} |\nabla w_\eta|^2 dx$$

- PVP: the solution of the original problem is obtained by minimizing  $\mathcal{E}_\eta$ , so we minimize  $\mathcal{I}_\eta$  with the constraint  $\nabla \cdot (A \nabla v) - \Delta w = f$ .
- DVP: we take the Legendre transform of  $\mathcal{I}_\eta$

$$\mathcal{J}_\eta = \int_{\mathbb{R}^2} f \psi dx - \frac{\eta}{2} \int_{\mathbb{R}^2} |\nabla v|^2 dx - \frac{1}{2\eta} \int_{\mathbb{R}^2} |\nabla \psi|^2 dx$$

then we maximize  $\mathcal{J}_\eta$  with the constraint  $\nabla \cdot (A \nabla \psi) + \eta \Delta v = 0$ .